

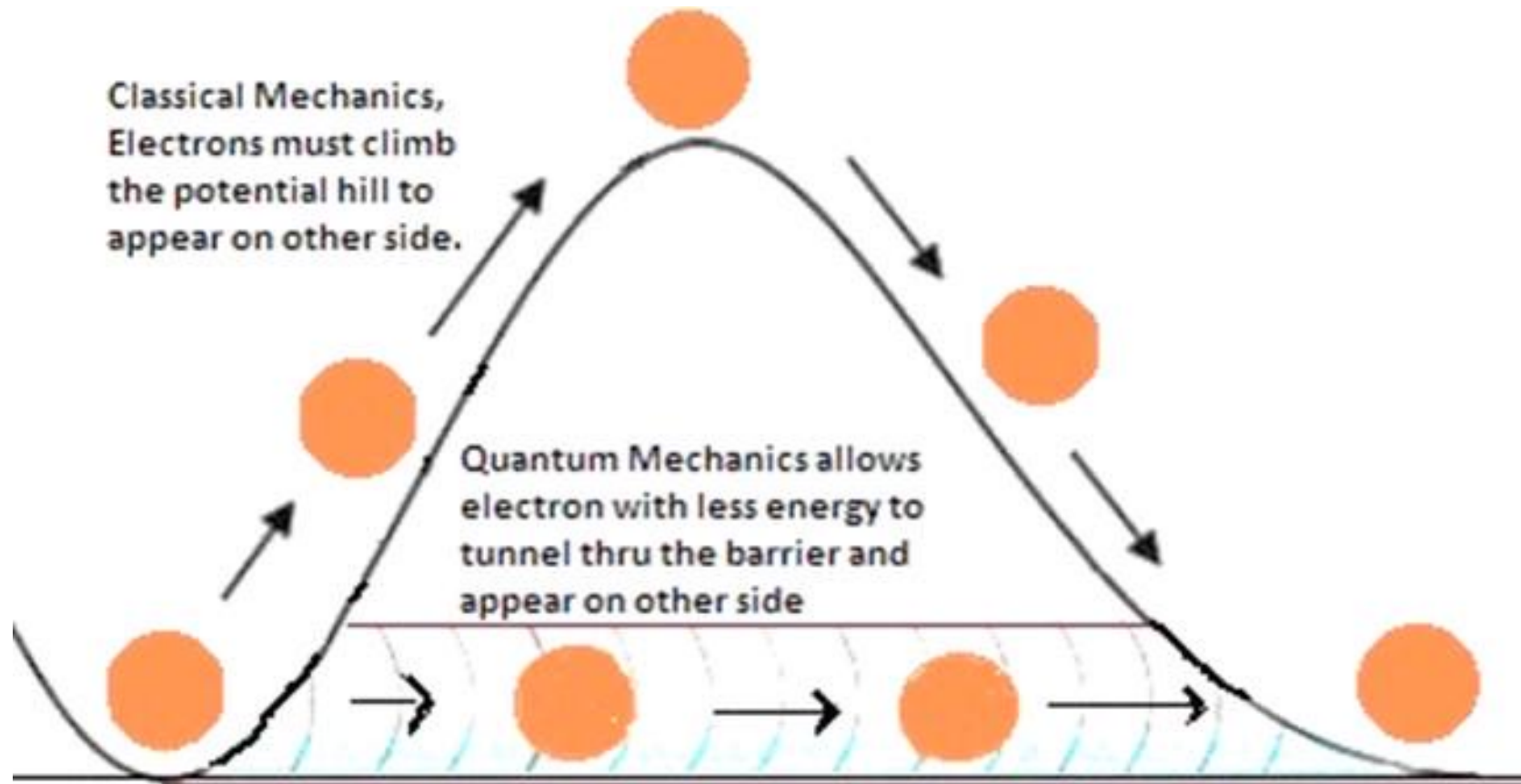
QY2. Quantum Devices

Quantum Tunnelling:

- Review: Barrier Reflection
- Barrier Penetration (Tunneling)

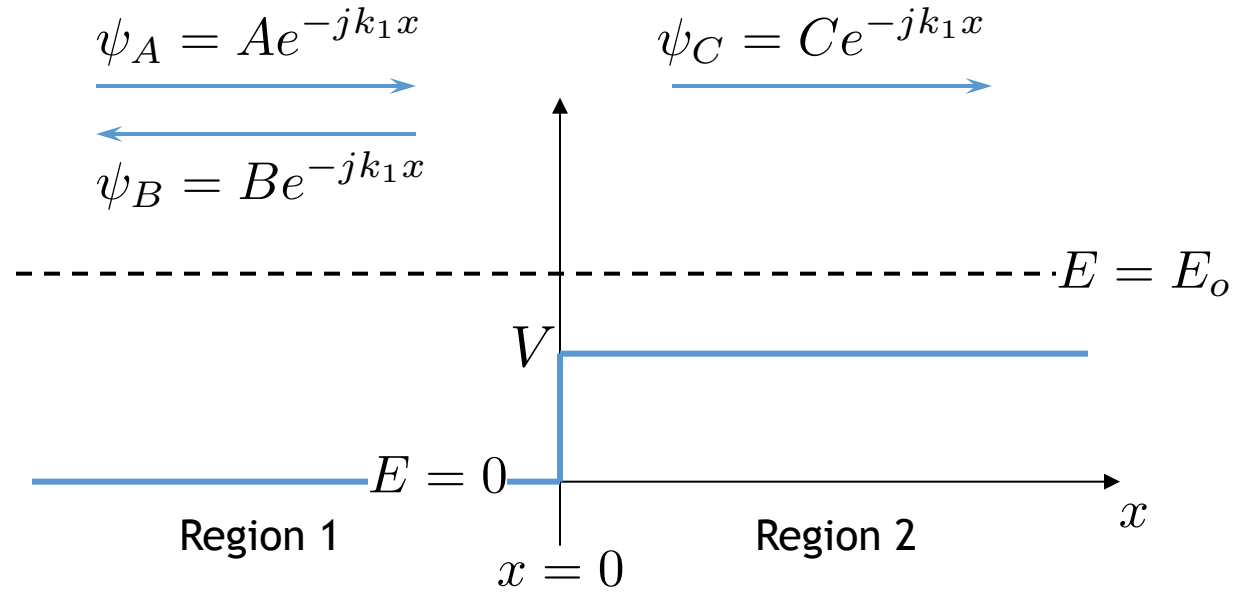
Dr Panagiotis Dimitrakis

Mission impossible in Classical Mechanics



A Simple Potential Step

CASE I : $E_o > V$



In Region 1:

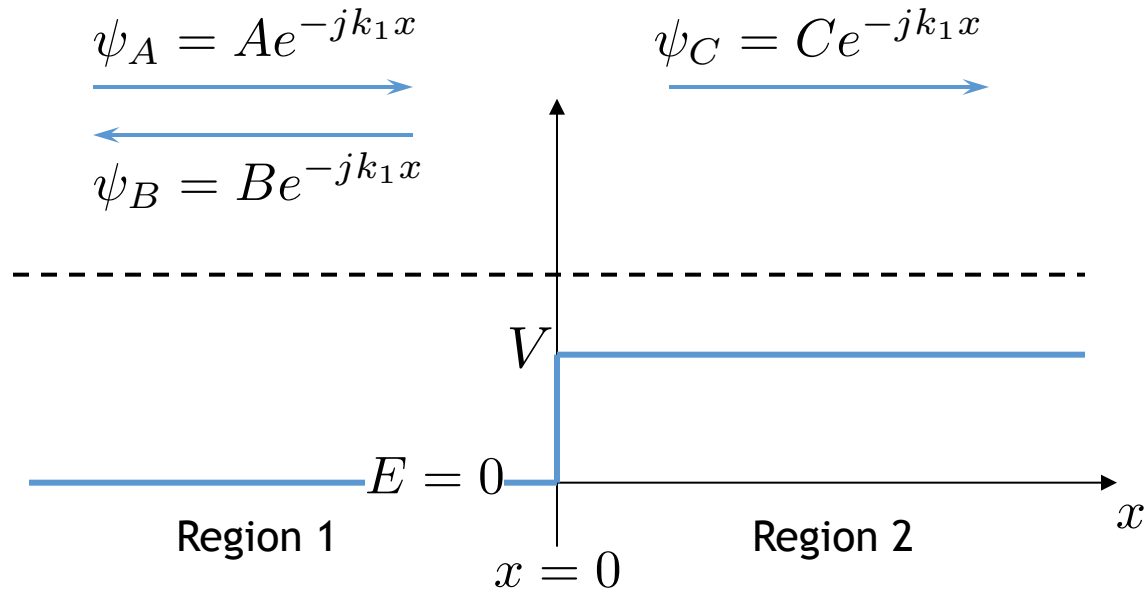
$$E_o \psi = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} \quad \Rightarrow \quad k_1^2 = \frac{2mE_o}{\hbar^2}$$

In Region 2:

$$(E_o - V) \psi = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} \quad \Rightarrow \quad k_2^2 = \frac{2m(E_o - V)}{\hbar^2}$$

A Simple Potential Step

CASE I : $E_0 > V$



$$\psi_1 = Ae^{-jk_1x} + Be^{jk_1x}$$

$$\psi_2 = Ce^{-jk_2x}$$

ψ is continuous:

$$\psi_1(0) = \psi_2(0)$$



$$A + B = C$$

$\frac{\partial \psi}{\partial x}$ is continuous:

$$\frac{\partial}{\partial x} \psi_1(0) = \frac{\partial}{\partial x} \psi_2(0)$$

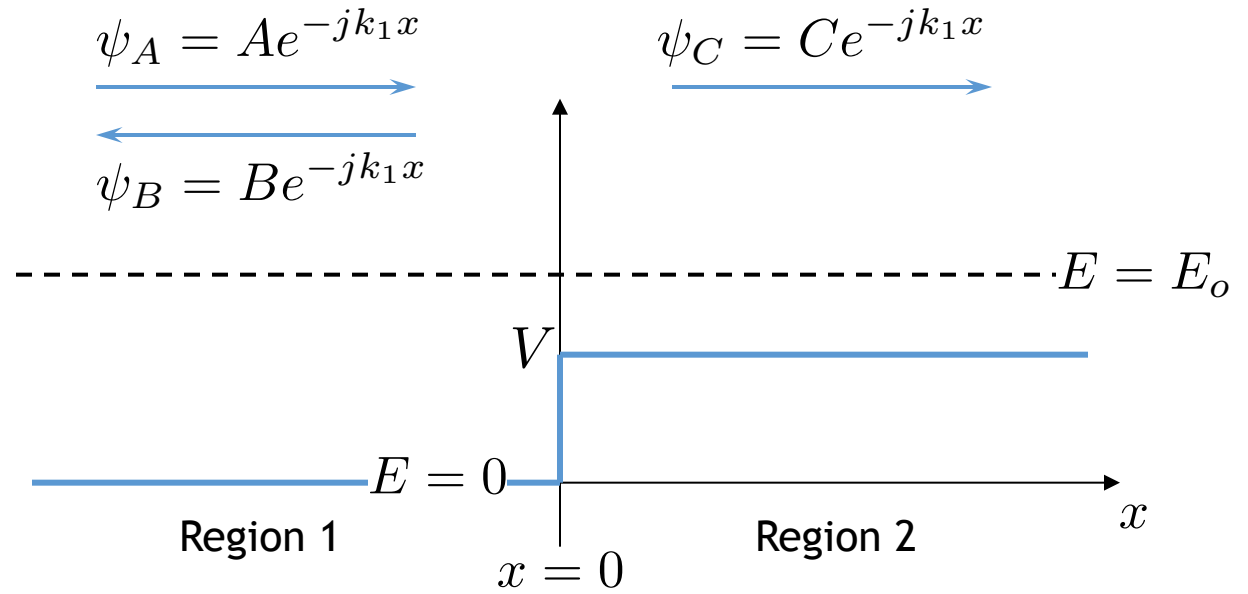


$$A - B = \frac{k_2}{k_1} C$$



A Simple Potential Step

CASE I : $E_o > V$



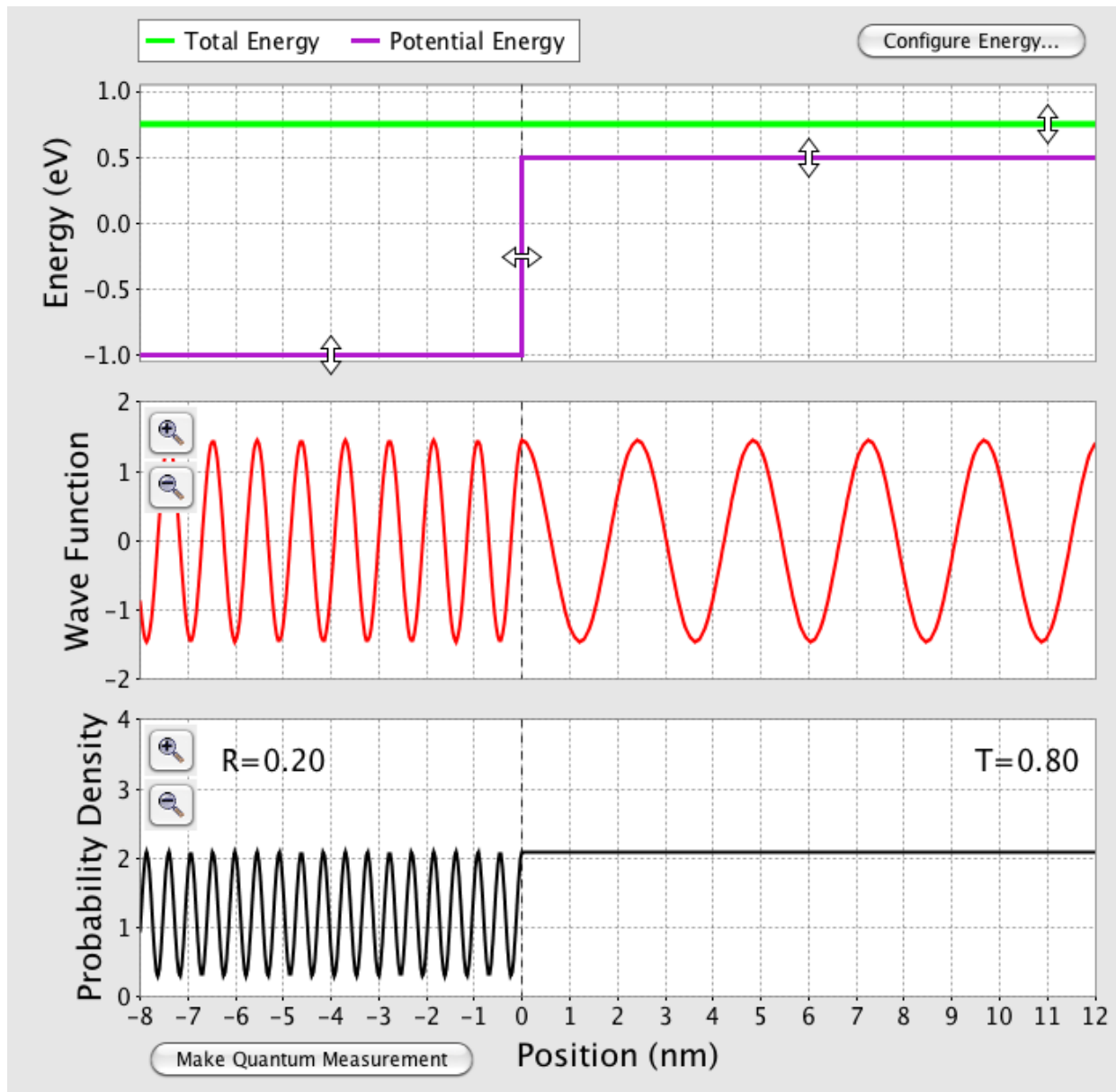
$$\frac{B}{A} = \frac{1 - k_2/k_1}{1 + k_2/k_1}$$

$$= \frac{k_1 - k_2}{k_1 + k_2}$$

$$\frac{C}{A} = \frac{2}{1 + k_2/k_1}$$

$$= \frac{2k_1}{k_1 + k_2}$$

$$\left\{ \begin{array}{l} A + B = C \\ A - B = \frac{k_2}{k_1} C \end{array} \right.$$



Quantum Electron Currents

Given an electron of mass m

that is located in space with charge density $\rho = q |\psi(x)|^2$

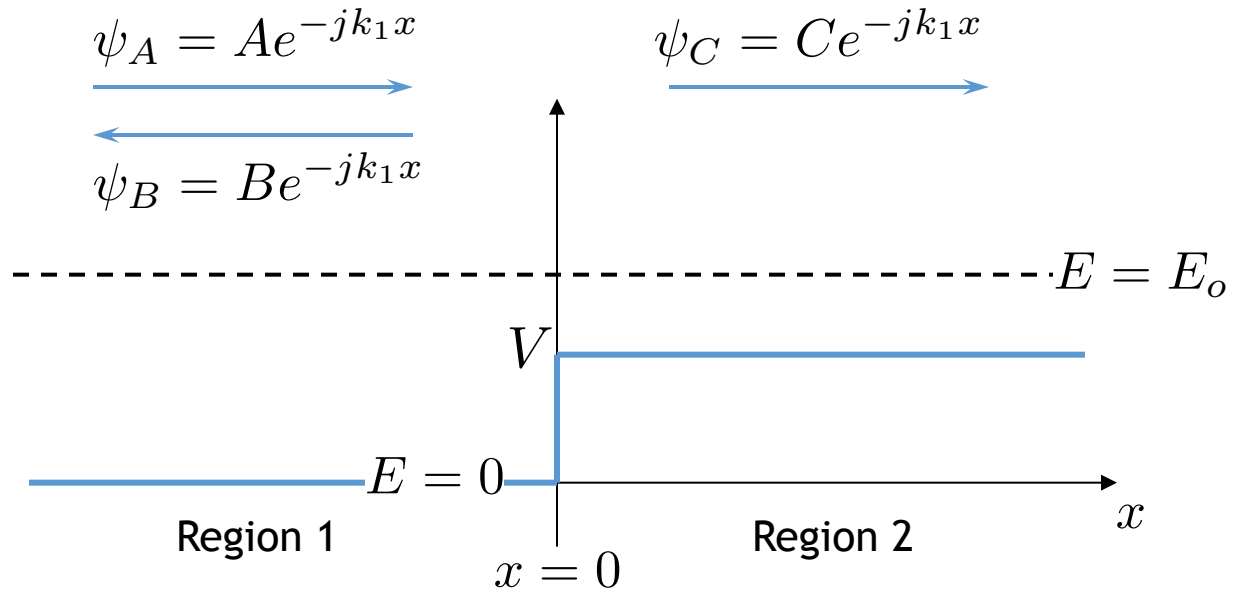
and moving with momentum $\langle p \rangle$ corresponding to $\langle v \rangle = \hbar k / m$

... then the current density for a *single electron* is given by

$$J = \rho v = q |\psi|^2 (\hbar k / m)$$

A Simple Potential Step

CASE I : $E_0 > V$



$$\text{Reflection} = R = \frac{J_{\text{reflected}}}{J_{\text{incident}}} = \frac{J_B}{J_A} = \frac{|\psi_B|^2 (\hbar k_1 / m)}{|\psi_A|^2 (\hbar k_1 / m)} = \left| \frac{B}{A} \right|^2$$

$$\text{Transmission} = T = \frac{J_{\text{transmitted}}}{J_{\text{incident}}} = \frac{J_C}{J_A} = \frac{|\psi_C|^2 (\hbar k_2 / m)}{|\psi_A|^2 (\hbar k_1 / m)} = \left| \frac{C}{A} \right|^2 \frac{k_2}{k_1}$$

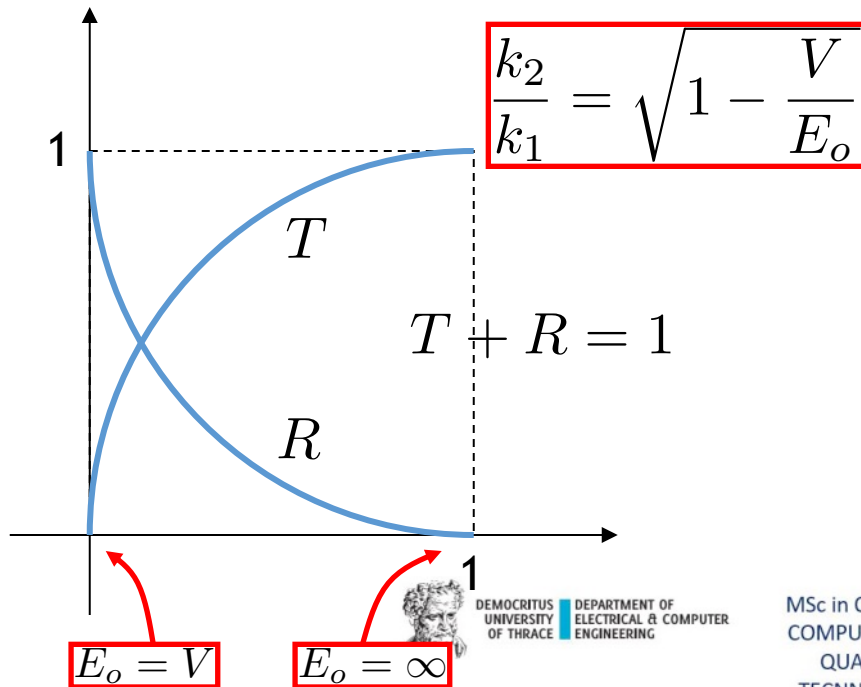
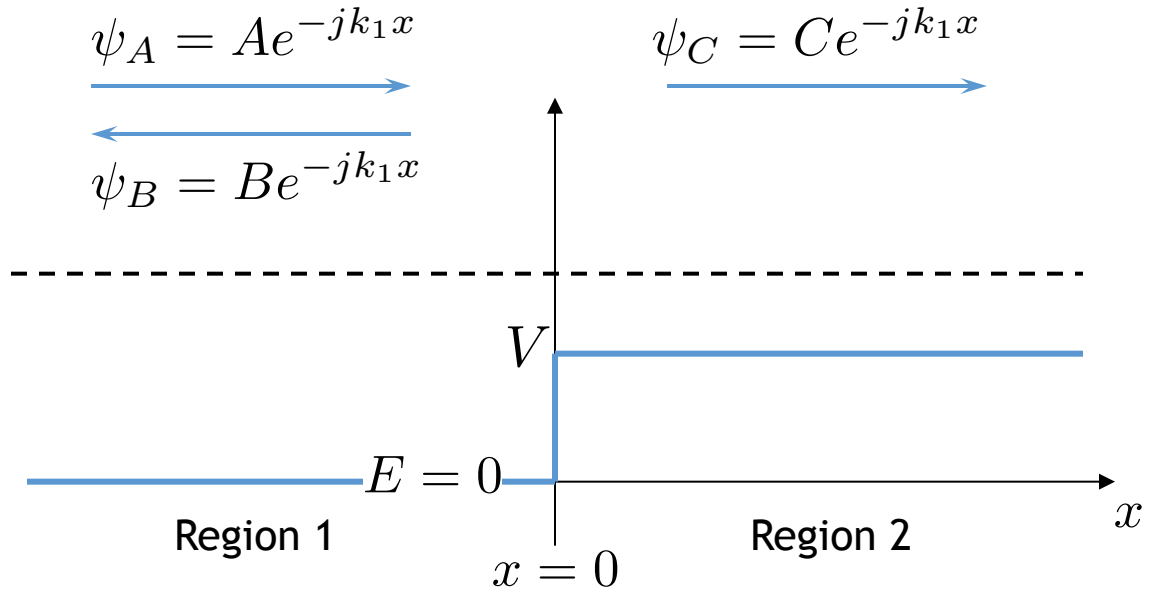
$$\frac{B}{A} = \frac{1 - k_2/k_1}{1 + k_2/k_1}$$

$$\frac{C}{A} = \frac{2}{1 + k_2/k_1}$$



A Simple Potential Step

CASE I : $E_o > V$



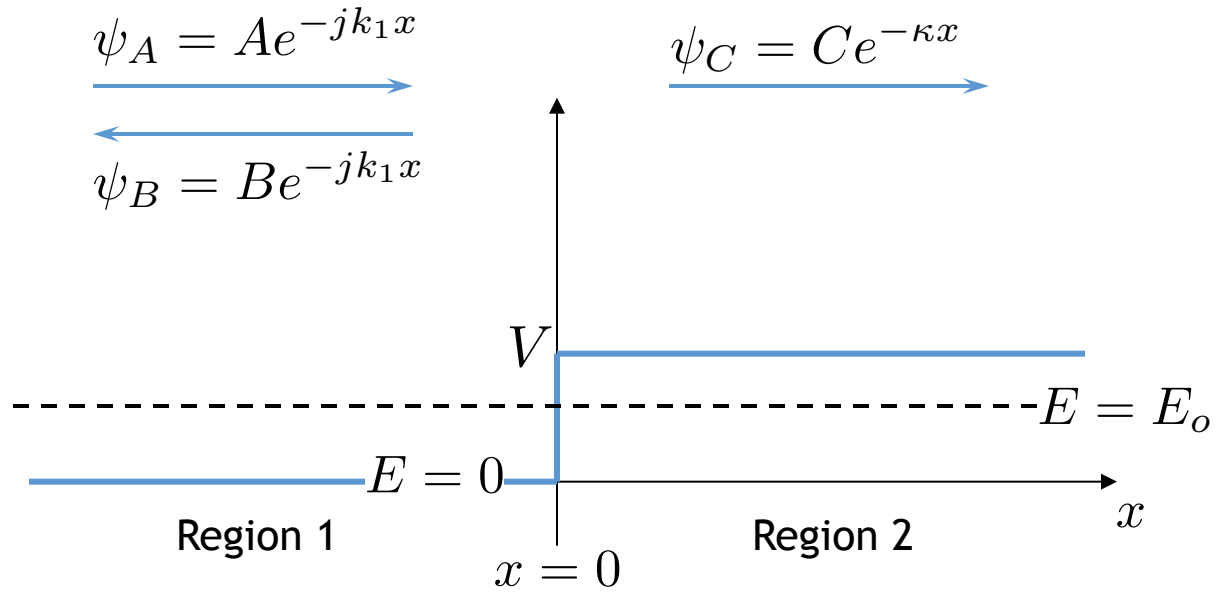
$$\text{Reflection} = R = \left| \frac{B}{A} \right|^2 = \left| \frac{k_1 - k_2}{k_1 + k_2} \right|^2$$

$$\text{Transmission} = T = 1 - R$$

$$= \frac{4k_1k_2}{|k_1 + k_2|^2}$$

A Simple Potential Step

CASE II : $E_o < V$

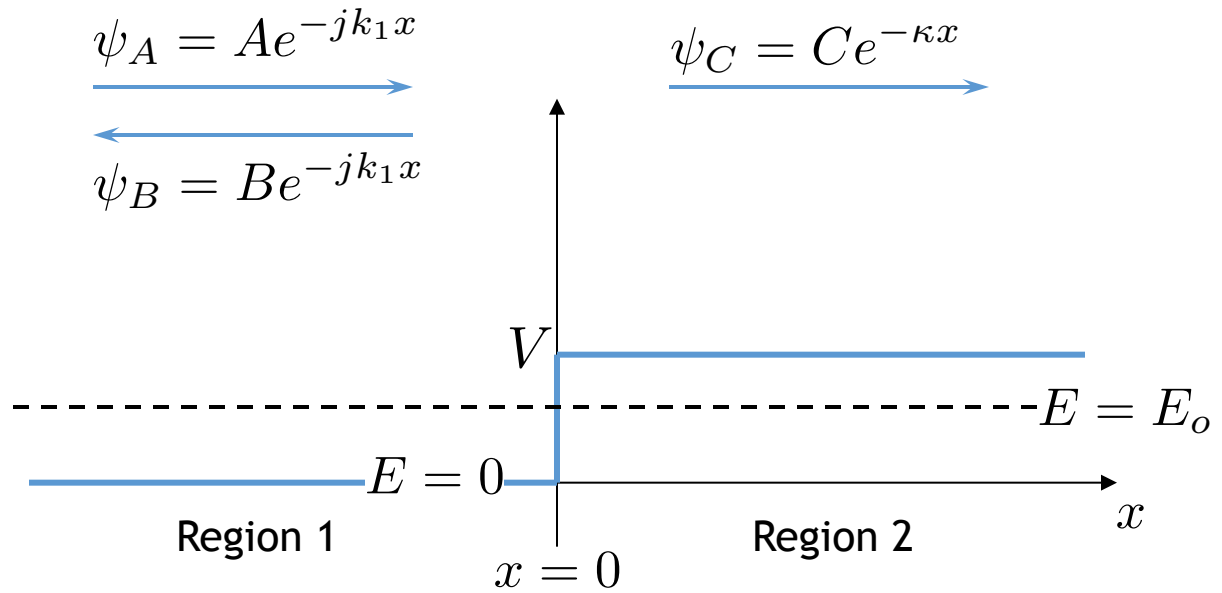


In Region 1: $E_o \psi = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} \Rightarrow k_1^2 = \frac{2mE_o}{\hbar^2}$

In Region 2: $(E_o - V) \psi = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} \Rightarrow \kappa^2 = \frac{2m(E_o - V)}{\hbar^2}$

A Simple Potential Step

CASE II : $E_o < V$



$$\psi_1 = Ae^{-jk_1x} + Be^{jk_1x}$$

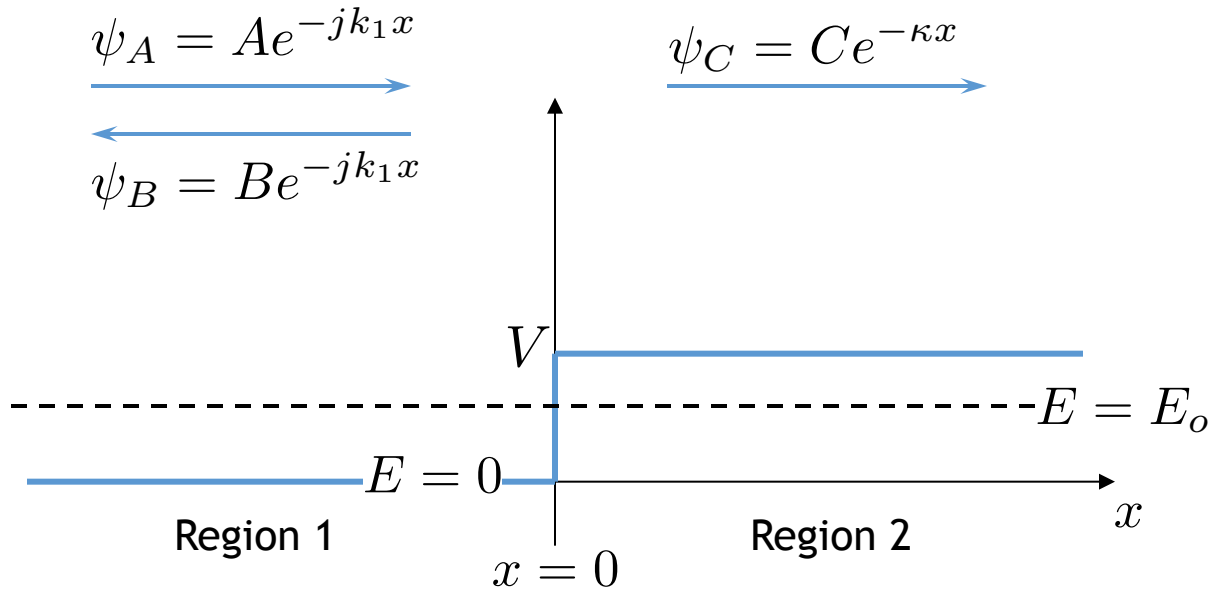
$$\psi_2 = Ce^{-\kappa x}$$

ψ is continuous: $\psi_1(0) = \psi_2(0) \Rightarrow A + B = C$

$\frac{\partial \psi}{\partial x}$ is continuous: $\frac{\partial}{\partial x} \psi_1(0) = \frac{\partial}{\partial x} \psi_2(0) \Rightarrow A - B = -j \frac{\kappa}{k_1} C$

A Simple Potential Step

CASE II : $E_o < V$



$$\frac{B}{A} = \frac{1 + j\kappa/k_1}{1 - j\kappa/k_1}$$

$$\frac{C}{A} = \frac{2}{1 - j\kappa/k_1}$$

$$\begin{cases} A + B = C \\ A - B = -j\frac{\kappa}{k_1}C \end{cases}$$

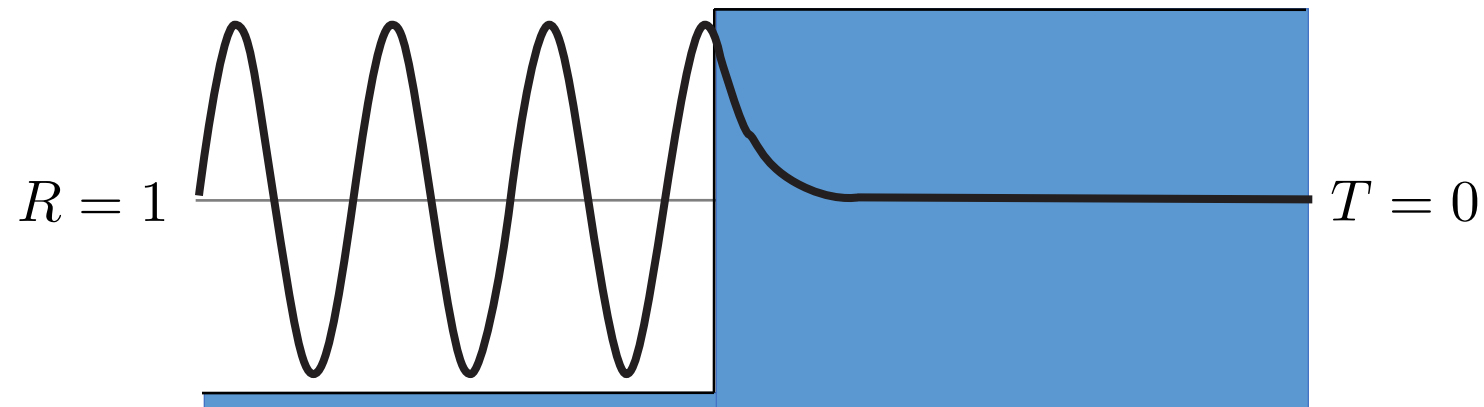
$$R = \left| \frac{B}{A} \right|^2 = 1 \quad T = 0$$

Total reflection → Transmission must be zero

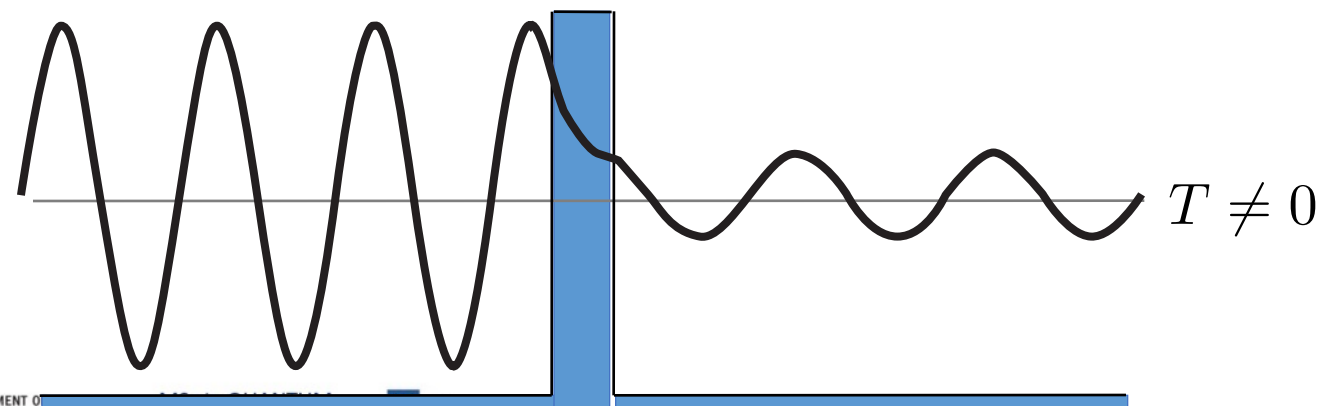


Quantum Tunneling Through a Thin Potential Barrier

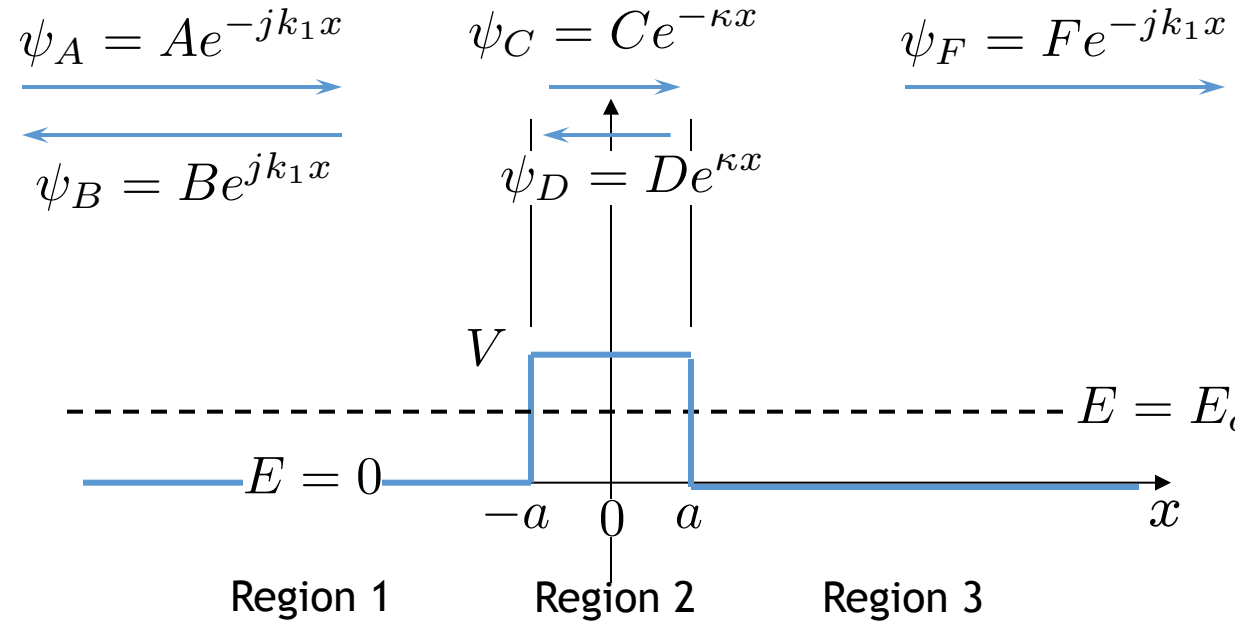
Total Reflection at Boundary



Frustrated Total Reflection (Tunneling)



A Rectangular Potential Step



CASE II : $E_0 < V$

In Regions 1 and 3: $E_0\psi = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} \Rightarrow k_1^2 = \frac{2mE_0}{\hbar^2}$

In Region 2: $(E_0 - V)\psi = -\frac{\hbar^2}{2m} \frac{\partial^2 \psi}{\partial x^2} \Rightarrow \kappa^2 = \frac{2m(V - E_0)}{\hbar^2}$

In Region 3?

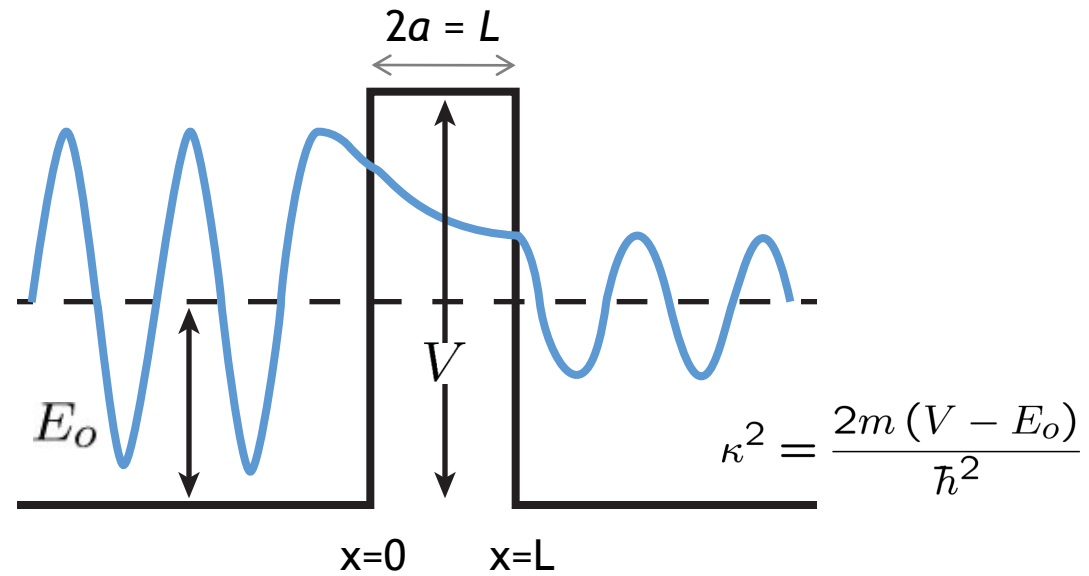
for $E_0 < V$:

$$T = \left| \frac{F}{A} \right|^2 = \frac{1}{1 + \frac{1}{4} \frac{V^2}{E_0(V - E_0)} \sinh^2(2\kappa a)}$$

A Rectangular Potential Step

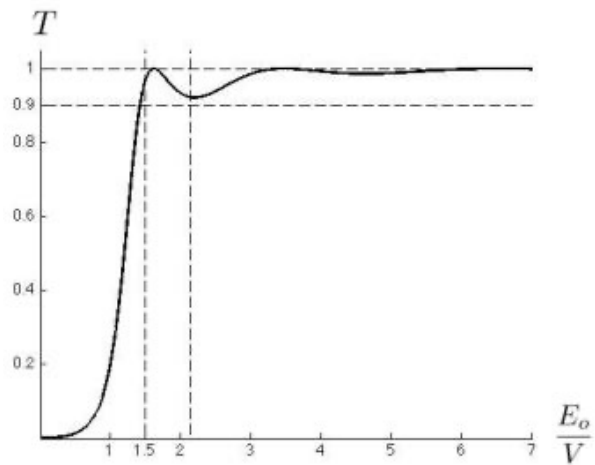
Real part of Ψ for $E_o < V$, shows hyperbolic (exponential) decay in the barrier domain and decrease in amplitude of the transmitted wave.

$$E = 0$$



for $E_o < V$:

$$T = \left| \frac{F}{A} \right|^2 = \frac{1}{1 + \frac{1}{4} \frac{V^2}{E_o(V - E_o)} \sinh^2(2\kappa a)}$$



Transmission Coefficient versus E_o/V for barrier with $2m(2a)^2V/\hbar^2 = 16$

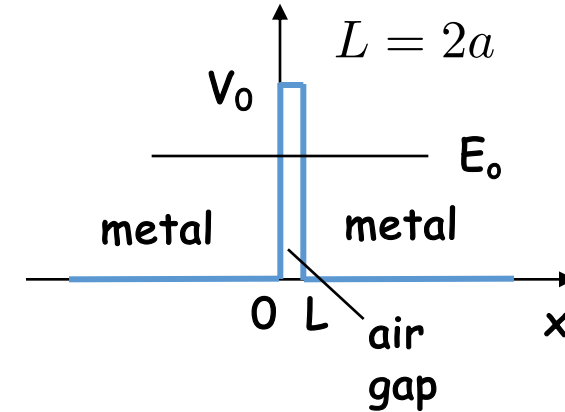
$$\sinh^2(2\kappa a) = [e^{2\kappa a} - e^{-2\kappa a}]^2 \approx e^{-4\kappa a}$$

$$T = \left| \frac{F}{A} \right|^2 \approx \frac{1}{1 + \frac{1}{4} \frac{V^2}{E_o(V - E_o)}} e^{-4\kappa a}$$

Example: Barrier Tunneling

- Let's consider a tunneling problem:

An electron with a total energy of $E_o = 6 \text{ eV}$ approaches a potential barrier with a height of $V_o = 12 \text{ eV}$. If the width of the barrier is $L = 0.18 \text{ nm}$, what is the probability that the electron will tunnel through the barrier?



$$T = \left| \frac{F}{A} \right|^2 \approx \frac{16E_o(V - E_o)}{V^2} e^{-2\kappa L}$$

$$\kappa = \sqrt{\frac{2m_e}{\hbar^2}(V - E_o)} = 2\pi \sqrt{\frac{2m_e}{h^2}(V - E_o)} = 2\pi \sqrt{\frac{6\text{eV}}{1.505\text{eV}\cdot\text{nm}^2}} \approx 12.6 \text{ nm}^{-1}$$

$$T = 4e^{-2(12.6 \text{ nm}^{-1})(0.18 \text{ nm})} = 4(0.011) = \boxed{4.4\%}$$

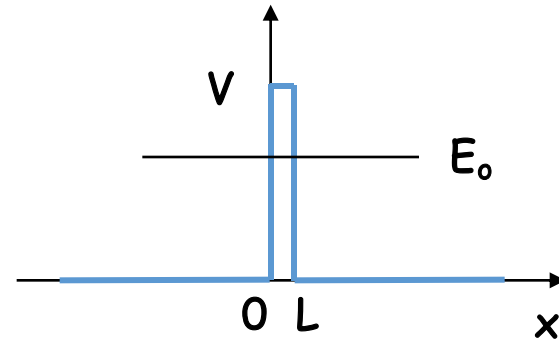
Question: What will T be if we double the width of the gap?

Multiple Choice Questions

Consider a particle tunneling through a barrier:

1. Which of the following will increase the likelihood of tunneling?

- a. decrease the height of the barrier
- b. decrease the width of the barrier
- c. decrease the mass of the particle



2. What is the energy of the particles that have successfully “escaped”?

- a. $<$ initial energy
- b. = initial energy
- c. $>$ initial energy

Although the *amplitude* of the wave is smaller after the barrier, no energy is lost in the tunneling process

...to be continued

Thank you!

E-class Support

Lesson	Kassap	Fu
1	3.2	1.1, 1.3, 1.9, B4, B5
2	3.5	B8